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Patent

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Attorney Docket # 4910-3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent of

Hung LI et al.

Patent No.: 6,245,963

Issued: June 12, 2001

For: Knockout-Transgenic Mouse Model of Spinal  
Muscular Atrophy

I hereby certify that this correspondence is being  
deposited with the United States Postal Service with  
sufficient postage as first class mail in an envelope  
addressed to: Commissioner for Patents, P.O. Box  
1450, Arlington, VA 22313-1450, on  
November 21, 2005  
(Date of Deposit)

Kent H. Cheng

Name of applicant, assignee or Registered Representative

*Kent H. Cheng*  
Signature

November 21, 2005

Date of Signature

NOV 29 2005

OFFICE OF PETITIONS

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Commissioner for Patents  
P.O. Box 1450  
Arlington, VA 22313-1450

**PETITION TO CORRECT INVENTORSHIP UNDER 37 CFR §3.73**

SIR:

This Petition is filed to add Mr. Yuh-Jyh Jong as an additional co-inventor to the  
above-identified patent.

Pursuant to 37 CFR § 3.73, the following documents accompany this petition:

- 1) Statement from Mr. Yuh-Jyh Jong under 37 CFR 1.324(b)(1)
- 2) Statement of currently named inventors under 37 CFR 1.324(b)(2)
- 3) Statement of the Assignee, Academia Sinica, under 37 CFR 1.324(b)(3).

The fee set forth in 37 CFR 1.20(b) of \$130.00 is enclosed.

11/25/2005 MGE BREM1 00000038 09578656

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130.00 0P

However, if any additional fees or charges are required at this time, they may be charged to our Patent and Trademark Office Deposit Account No. 03-2412.

Respectfully submitted,  
COHEN, PONTANI, LIEBERMAN & PAVANE

By Kent H. Cheng  
Kent H. Cheng  
Reg. No. 33,849  
551 Fifth Avenue  
New York, New York 10176  
(212) 687-2770

Dated: November 21, 2005

**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**

PATENT NO : 6,245,963  
DATED : June 12, 2001  
INVENTOR(S) : Hung LI

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Inventors: Hung Li; Hsiu-Mei Hsieh-Li;  
Jan-Gowth Chang, Yuh-Jyh Jong, all of Tapei (TW)

MAILING ADDRESS OF SENDER:

Cohen, Pontani, Lieberman & Pavane  
551 Fifth Avenue  
Suite 1210  
New York NY 10176

PATENT NO. **6,245,963**

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent No. 6,245,963

Issue Date: June 12, 2001

Appl. No: 09/578,656

Filed: May 25, 2000

For: Knockout-Transgenic Mouse Model of Spinal  
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Assistant Commissioner of Patents  
Washington, D.C. 20231

STATEMENT ACCOMPANYING PETITION TO CORRECT INVENTORSHIP UNDER 37  
CFR § 1.324(b)(1)

SIR:

This statement is filed concurrently with the Petition to Correct Inventorship for the  
above-identified patent.

I am a co-inventor of the above-identified patent. However, my name was  
erroneously omitted from this patent. To remedy this omission, I hereby declare that:

The inventorship error of failing to include Yuh-Jyh Jong as an inventor of the  
patent occurred without any deceptive intention on the part of Yuh-Jyh Jong.

Accordingly, please add my name as a co-inventor as requested in the Petition.

Respectfully submitted,

Date:

March 06, 2002

By:

Yuh-Jyh JONG

## ASSIGNMENT

In consideration of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, I the undersigned, **Yuh-Jyh JONG** (hereinafter referred to as "ASSIGNOR"):

Hereby sell, assign and transfer to **Academia Sinica**, (hereinafter referred to as "ASSIGNEE"), a non-profit organization, having a place of business at 128, Sec. 2, Academia Sinica Road, Nankang, Taipei 115, Taiwan R.O.C., its successors, assigns and legal representatives, the entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent, serial number 09/578,656, which has been executed by the undersigned concurrently herewith, and is entitled

### **A KNOCKOUT-TRANSGENIC MOUSE MODEL OF SPINAL MUSCULAR ATROPHY**

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in the United States, currently United States Patent No. 6,245,963, and all foreign countries on said improvements;

Agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name, and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths,

assignments, powers of attorney and other papers, communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: March 06, 2002

Yuh-Jyn-JONG

Yuh Jyn Jong

Witness

Cynthia Hsieh

Witness

Wendy Tsai



Patent

Attorney Docket # 4910-3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent No. 6,245,963  
Issue Date: June 12, 2001  
Appl. No: 09/578,656  
Filed: May 25, 2000  
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Assistant Commissioner of Patents  
Washington, D.C. 20231

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STATEMENT ACCOMPANYING PETITION TO CORRECT INVENTORSHIP UNDER 37 CFR § 1.324(b)(2)

SIR:

This statement is filed concurrently with the Petition to Correct Inventorship for the above-identified patent.

We are the currently listed inventors for the above-identified patent. We believe that Yuh-Jyh Jong has been omitted as a co-inventor in error and agree to add Yuh-Jyh Jong as a co-inventor of this patent.

Accordingly, we ask that Yuh-Jyh Jong be added as a co-inventor as requested in the Petition.

Respectfully submitted,

Date: 1/22/02

By: Hung LI

Date: 1/22/02

By: Shin-Mei Hsieh-Li

Hsiu-Mei HSIEH-LI

Date: Jan 18, 2002

By: Jan-Gowth Chang  
Jan-Gowth CHANG





Attorney Docket # 4910-3

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent No. 6,245,963

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Assistant Commissioner of Patents  
Washington, D.C. 20231

**STATEMENT ACCOMPANYING PETITION TO CORRECT INVENTORSHIP UNDER  
37 CFR § 1.324(b)(3)**

SIR:

This statement is filed concurrently with the Petition to Correct Inventorship for the above-identified patent.

We are the assignee of the above-identified patent. We believe that Yuh-Jyh Jong has been omitted as a co-inventor in error and agree to add Yuh-Jyh Jong as a co-inventor of this patent.

A statement to establish ownership under 37 CFR § 3.73(b) is enclosed with this statement.

Accordingly, we ask that Yuh-Jyh Jong be added as a co-inventor as requested in the Petition.

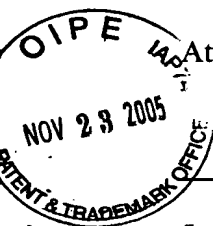
Respectfully submitted,

Date: Jan 15, 2002

Chi-Ming Liang  
Typed or Printed Name

Chi-Ming Liang  
Signature

Director, Office of Public Affairs  
Title  
Academia Sinica



Attorney Docket # 4910-3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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STATEMENT UNDER 37 CFR § 3.73(b)

**Academia Sinica**, a non-profit organization, certifies that it is the assignee of the entire right, title and interest in the patent application and corresponding patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 010842, Frame 0249, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: \_\_\_\_ To: \_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_, Frame \_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_ To: \_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_, Frame \_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_ To: \_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_, Frame \_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- [X] Copies of assignments or other documents in the chain of title are attached.  
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

Date: Jan 15, 2002

Name: Chi-Ming Liang

Signature: Chi-Ming Liang

Title: Director, Office of public Affairs  
Academia Sinica